



(Modified) PTO/SB/21 (12-97)

For use through 9/30/00 OMB 0651-0031

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	<b>09/654,603</b>
	Filing Date	<b>September 1, 2000</b>
	First Named Inventor	<b>Chazan</b>
	Group Art Unit	<b>1743</b>
	Examiner Name	
Total number of pages This Submission	Attorney Docket No.	<b>100/08510</b>

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> No Fee Required <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Info. Discl. Stmt. (2 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <b>Declaration</b> <b>Assignee Power of Attorney</b> <b>3.73(b) Certificate</b> <b>Return Receipt Postcard</b>
<b>Authorization to Charge Deposit Account</b> Please charge Deposit Account No. 03-0177 for any additional fees associated with this paper or during the pendency of this application.		
Remarks		

Firm or		SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Individual Name	<b>Matthew B. Murphy</b>	Reg. No.	<b>39,787</b>
Signature			
Date	<b>11/27/00</b>		

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: <b>November 27, 2000</b>			
Typed or Printed Name		<b>Michelle Chan</b>	
Signature	<b>Michelle Chan</b>	Date:	<b>November 27, 2000</b>



# **FREE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision

## Complete if Known

Application Number	09/654,603
Filing Date	September 1, 2000
First Named Inventor	Chazan
Examiner Name	
Group/Art Unit	1743
Attorney Docket No.	100/08510

TOTAL AMOUNT OF PAYMENT \$1,616

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Acct. No.

03-0177

Deposit Acct. Name

Caliper Technologies Corp.

- ☒ Charge Any Additional Fee Required Under 37 CFR Sections 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check ☐ Credit Card ☐ Money Order ☐ Other ☐

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	740
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					740

### 2. EXTRA CLAIM FEES

Total claims	Extra claims	Fee from below	Fee Paid
57	-20** = 37 x	18 =	666
Independent Claims	4 -3** = 1	80 =	80
Multiple Dependent			

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claims, if new
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) 746

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1390	218	695	Extension for response within fourth month	
128	1890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1240	241	620	Petition to revive - unintentional	
142	1240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

SUBTOTAL (3) \$130

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name **Matthew B. Murphy**

Signature

*Matthew B. Murphy*

Date

11/27/00

Reg. Number

Complete (if applicable)

39,787

Deposit Account

03-0177

User ID

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date:

November 27, 2000

Typed or Printed Name

Michell Chan

Signature

*Michelle Chan*

Date

November 27, 2000

Sedra



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/654,603	09/01/2000	David Chazan	100/08510

021569  
CALIPER TECHNOLOGIES CORP  
605 FAIRCHILD DRIVE  
MOUNTAIN VIEW, CA 94043

## FORMALITIES LETTER



\*OC000000005535693\*

Date Mailed: 11/07/2000



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$744.
  - \$666 for 37 total claims over 20.
  - \$78 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1564.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/05/2000 51006 00000114 030177 09654603  
01 FC:101 710.00 CH  
02 FC:102 80.00 CH  
03 FC:103 666.00 CH  
04 FC:105 130.00 CH

11/6/00